

POLICYLAB

February 23, 2024

A ROADMAP FOR EQUITY IN AUTISM IDENTIFICATION AND CARE

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I have no financial disclosures.

LAND ACKNOWLEDGEMENT



<https://nativegov.org/a-guide-to-indigenous-land-acknowledgment/>

TAKE A DEEP BREATH



Photo by [Anway Pawar](#) on [Unsplash](#)

OBJECTIVES

- Quantify disparities in autism diagnosis and interventions by race and ethnicity, sex, socio-economic status and cognitive ability, based on published studies.
- Identify potential mechanisms through which disparities in autism diagnosis and care are perpetuated.
- List potential solutions to improve equity in autism identification and care.

1. AN OVERVIEW OF AUTISM INEQUITIES

2. Foundational Concepts in Health Equity

3. Mechanistic inquiry into autism disparities

4. Promoting Equity

A STORY ABOUT BENJAMIN. . .



- 5 year, 7 months
- Parents spoke English, immigrants from East Africa
- Decreased interaction with others, fleeting eye contact, difficulty with conversation, echolalia, scripting, repetitive movements, behavioral inflexibility
- Had only recently begun using more than 1-word utterances to communicate
- Expelled from kindergarten

AUTISM SPECTRUM DISORDER

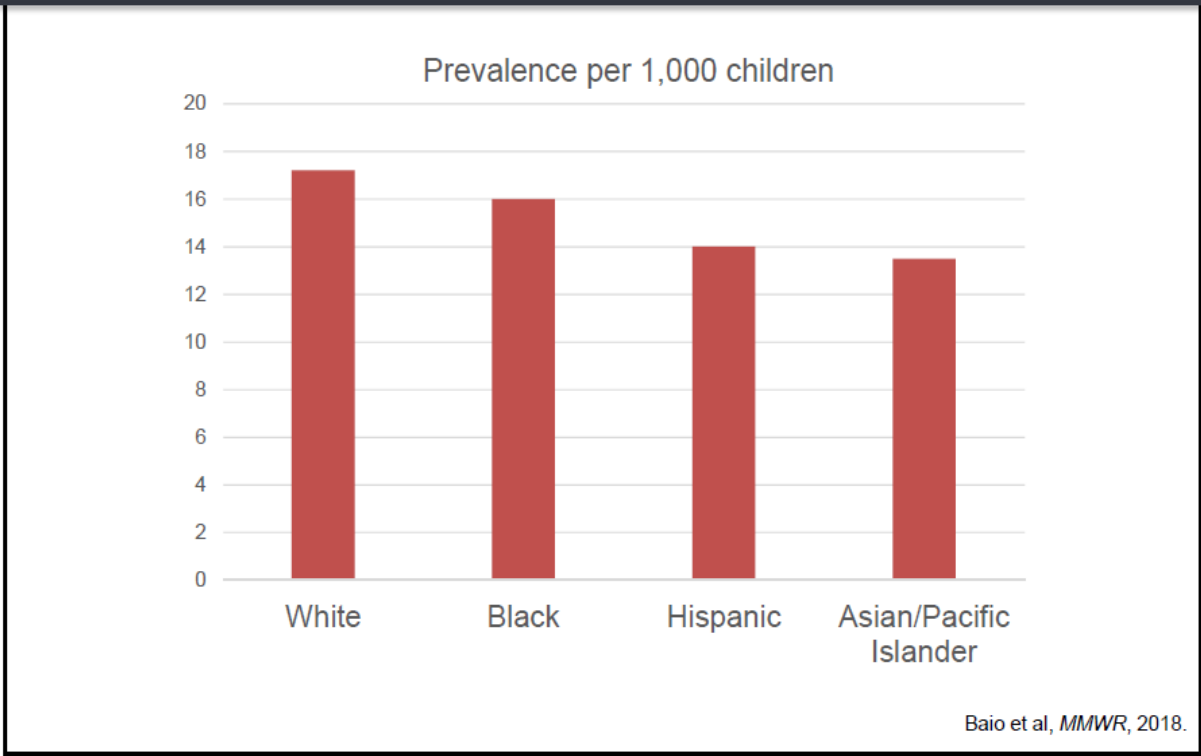
Deficits in social communication and social interactions

- A1: Deficits in social-emotional reciprocity
- A2: Deficits in nonverbal communicative behaviors used for social interaction
- A3: Deficits in developing, maintaining, and understanding relationships

Restricted, repetitive patterns of behavior, interests, or activities

- B1: Stereotyped or repetitive motor movements, use of objects, or speech
- B2: Insistence on sameness, inflexible adherence to routines, or ritualized patterns of behavior
- B3: Highly restricted, fixated interests
- B4: Hyper- or hypo-reactivity to sensory input

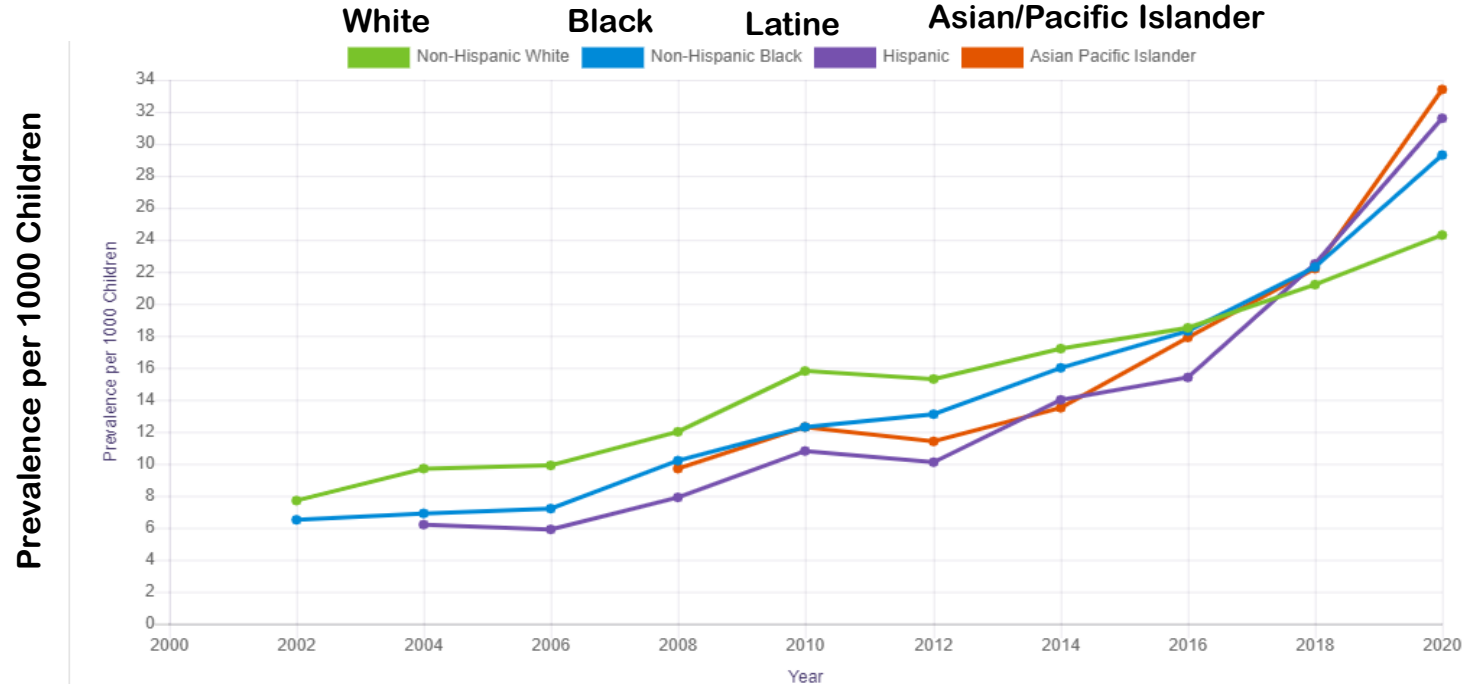
RACIAL AND ETHNIC DISPARITIES IN AUTISM



Baio, J., et al. (2018). "Prevalence of Autism Spectrum Disorder Among Children Aged 8 Years - Autism and Developmental Disabilities Monitoring Network, 11 Sites, United States, 2014." *MMWR Surveill Summ* **67(6): 1-23**.

RACIAL AND ETHNIC DISPARITIES IN AUTISM

Prevalence by Race/Ethnicity ADDM Network Data



RACIAL AND ETHNIC DISPARITIES IN AUTISM

Prevalence by Race/Ethnicity ADDM Network Data

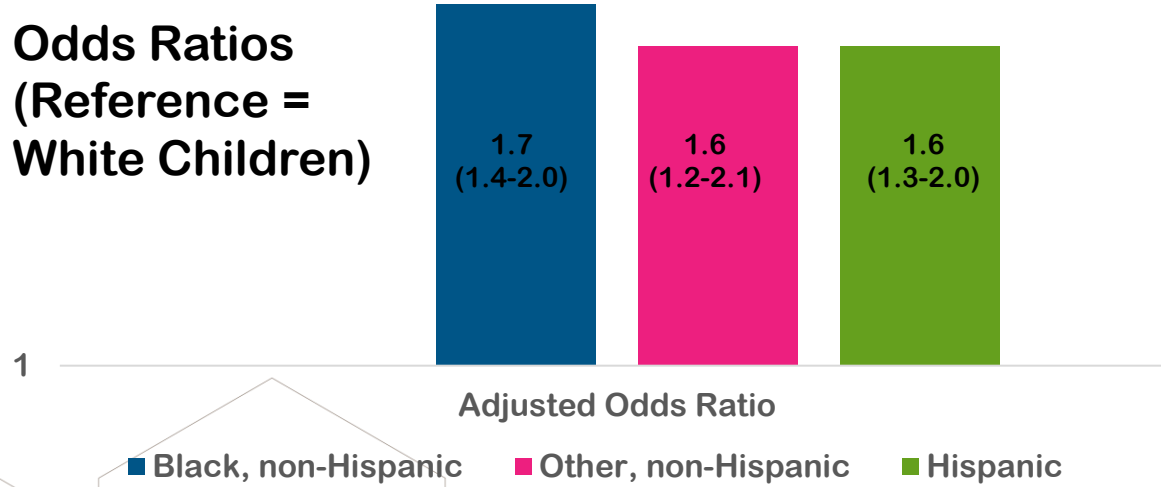
For the first time, the ADDM Network data found the percentage of 8-year-old children identified with ASD was higher among Black, Hispanic, and Asian or Pacific Islander (A/PI) children compared with White children.



Values indicate prevalence per 1,000 children

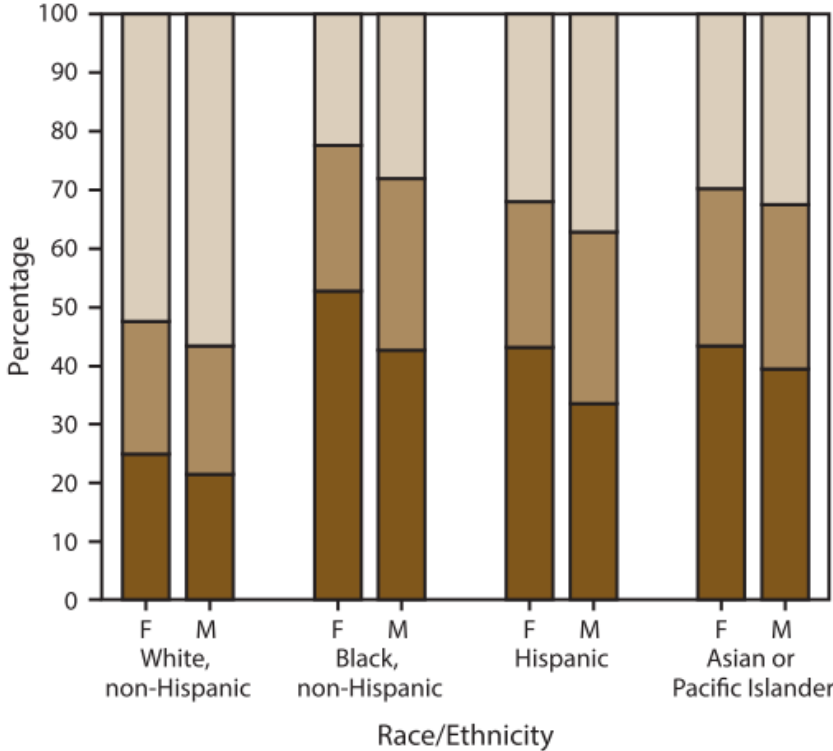
RACIAL AND ETHNIC DISPARITIES IN AUTISM: DIAGNOSIS V. IDENTIFICATION

1 in 4 children who meet ASD criteria are not diagnosed. Undiagnosed children are more likely to be non-white.



Wiggins, L. D., et al. (2019). "Disparities in Documented Diagnoses of Autism Spectrum Disorder Based on Demographic, Individual, and Service Factors." *Autism research: official journal of the International Society for Autism Research*.

RACIAL DISPARITIES: CO-OCCURRING INTELLECTUAL DISABILITY



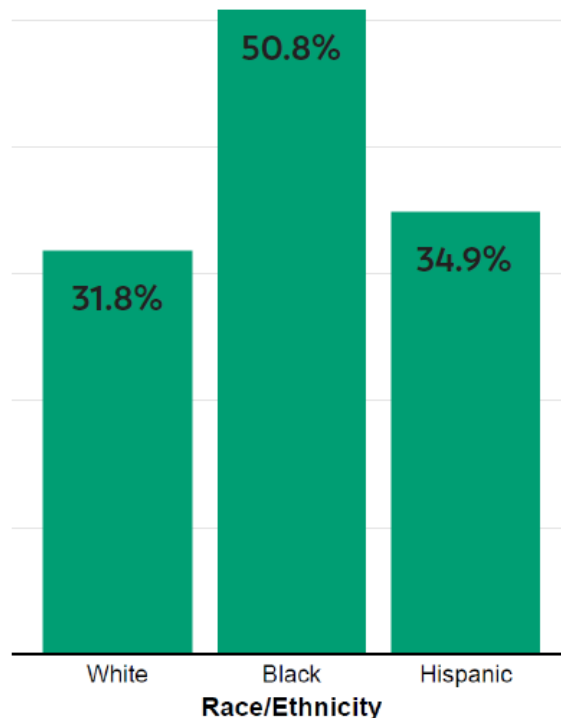
Baio, J., et al. (2018). "Prevalence of Autism Spectrum Disorder Among Children Aged 8 Years - Autism and Developmental Disabilities Monitoring Network, 11 Sites, United States, 2014." *MMWR Surveill Summ* 67(6): 1-23.

- Average or above average (IQ >85)
- Borderline range (IQ 71–85)
- Intellectually disabled range (IQ ≤70)

RACIAL DISPARITIES: CO-OCCURRING INTELLECTUAL DISABILITY

Percentage of 8 year-old children with autism and co-occurring intellectual disability, by race:

- 31.8% of White Children**
- 50.8% of Black Children**
- 34.9% of Hispanic Children**



CDC. Autism Data Visualization Tool.
<https://www.cdc.gov/ncbddd/autism/data/index.html>

Maenner MJ, Warren Z, Williams AR, et al. Prevalence and Characteristics of Autism Spectrum Disorder Among Children Aged 8 Years – Autism and Developmental Disabilities Monitoring Network, 11 Sites, United States, 2020. *MMWR Surveill Summ* 2023;72(No. SS-2):1–14.

DIFFERENCE BETWEEN AGE OF 1ST CONCERN & DX IN BLACK FAMILIES

Age of First Concern:

23 months

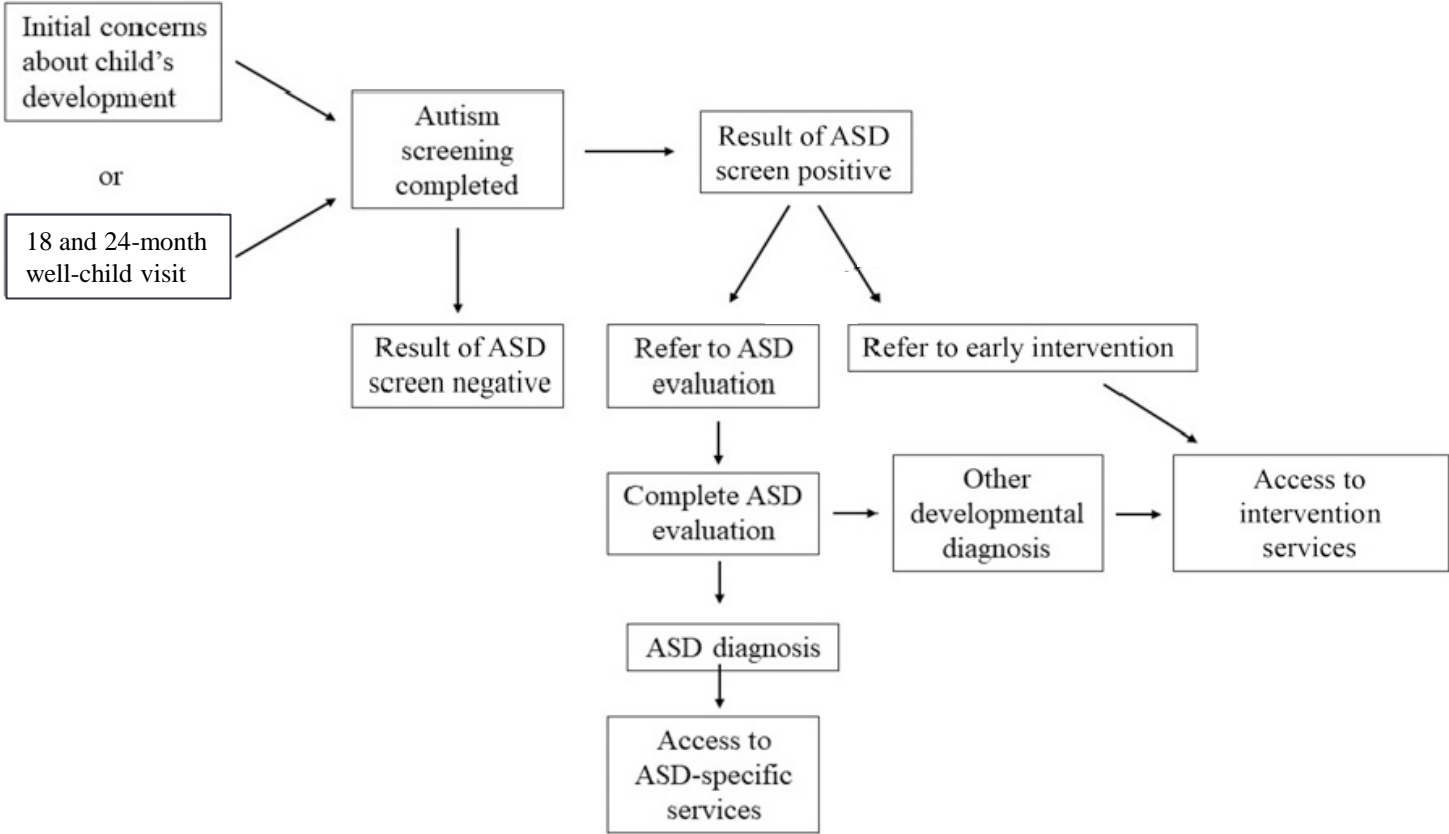


Age at Diagnosis:

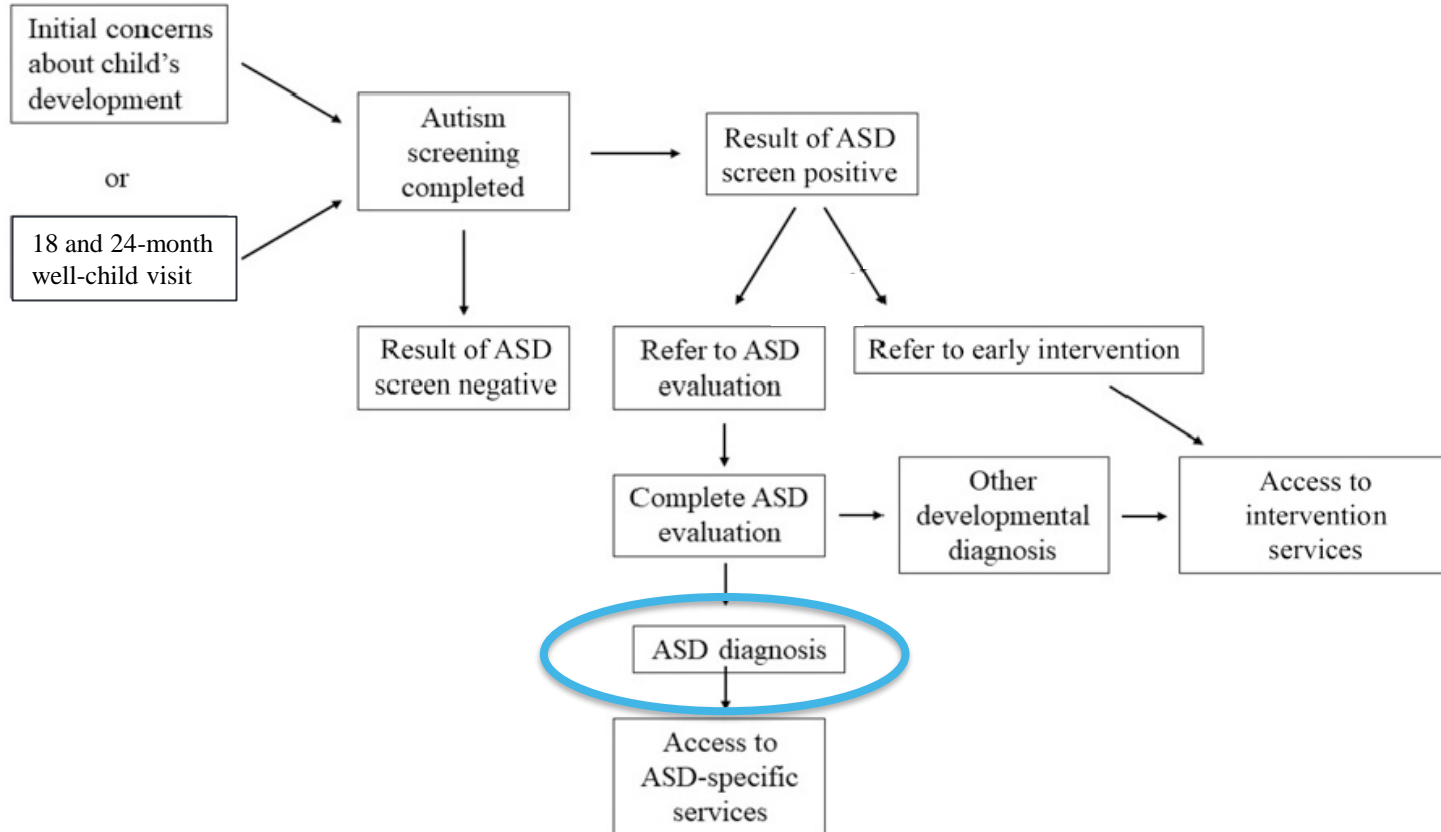
64.9 months

Constantino, J. N., et al. (2020). "Timing of the Diagnosis of Autism in African American Children." Pediatrics 146(3): e20193629.

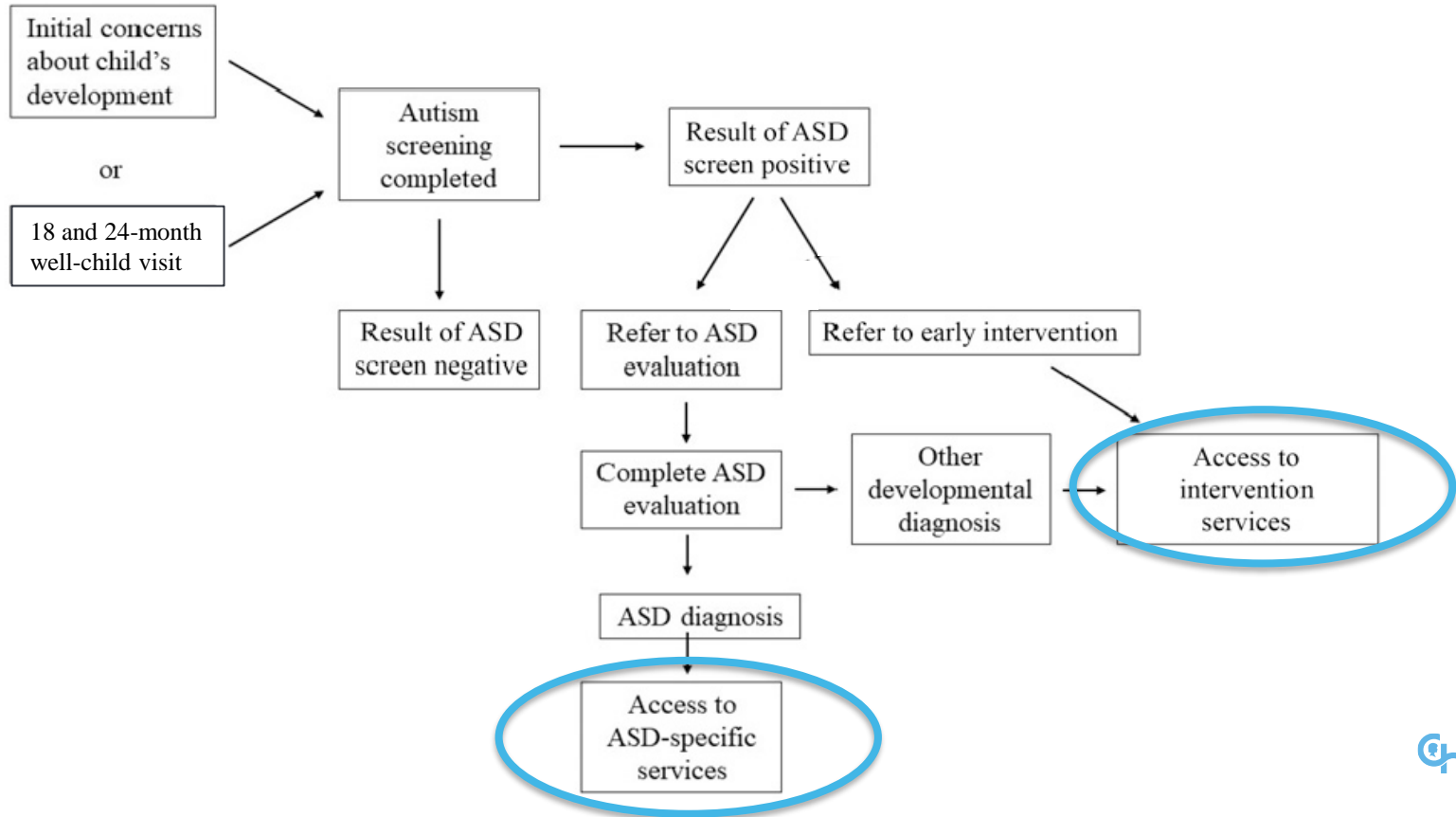
AUTISM DIAGNOSTIC AND INTERVENTION PROCESS



DISPARITIES IN DIAGNOSIS



DISPARITIES IN INTERVENTIONS



ASD DISPARITIES IN SERVICES



In California in 2012-2013, spending on ASD-related care was higher for White individuals across the lifespan.

BLACK/AFRICAN AMERICAN
ASIAN
HISPANIC
OTHER/UNKNOWN

Leigh, J. P., et al. (2016). "Spending by California's Department of Developmental Services for Persons with Autism across Demographic and Expenditure Categories." *PLoS One* 11(3): e0151970

PEDIATRICS®

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

Disparities in Service Use Among Children With Autism: A Systematic Review
Kathryn A. Smith, Jean-G. Gehricke, Suzannah Iadarola, Audrey Wolfe and Karen A.
Kuhlthau

Pediatrics 2020;145;S35

DOI: 10.1542/peds.2019-1895G

A STORY ABOUT BEN...



Limited services in the Philadelphia Public School System.
Limited access to home-based behavioral therapy.

SO WHAT DO YOU THINK IS GOING ON?



OVERVIEW

1. An overview of autism inequities

2. FOUNDATIONAL CONCEPTS IN HEALTH

EQUITY

3. Mechanistic inquiry into autism disparities

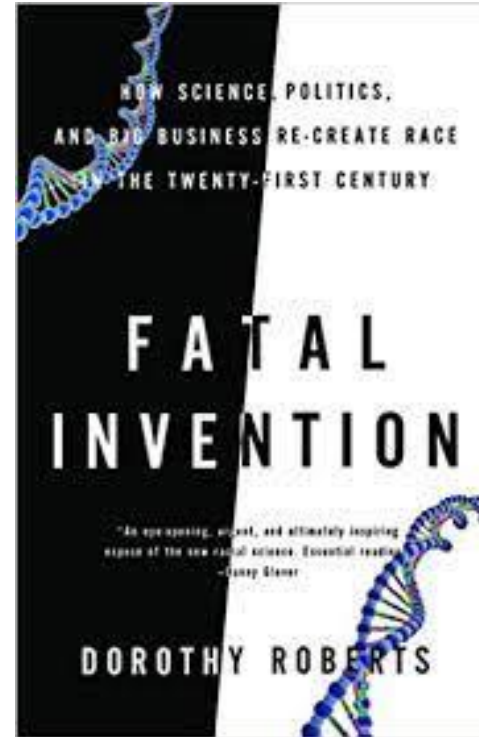
4. Promoting Equity

THE ORIGINS OF “RACE”

“Race applied to human beings is a *political* division: it is a system of governing people that classifies them into a social hierarchy based on invented biological demarcations.”

- Dorothy Roberts

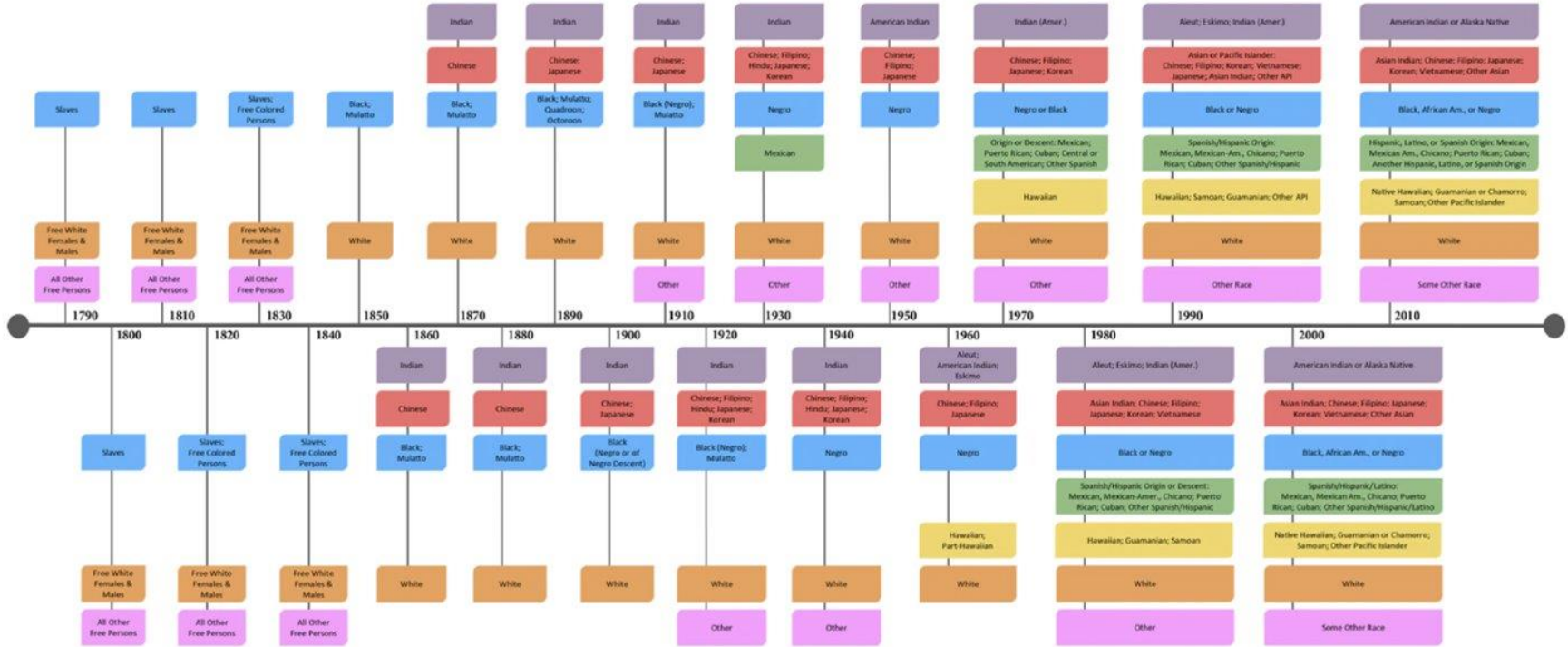
- American invention used to justify enslavement.
- Genetics do not map onto conceptions of race.



Source: National Museum of African-American History and Culture. Historical Foundations of Race.
<https://nmaahc.si.edu/learn/talking-about-race/topics/historical-foundations-race>

WHAT ARE THE ORIGINS OF “RACE”?

Measuring Race and Ethnicity Across the Decades: 1790–2010 Mapped to 1997 U.S. Office of Management and Budget Classification Standards

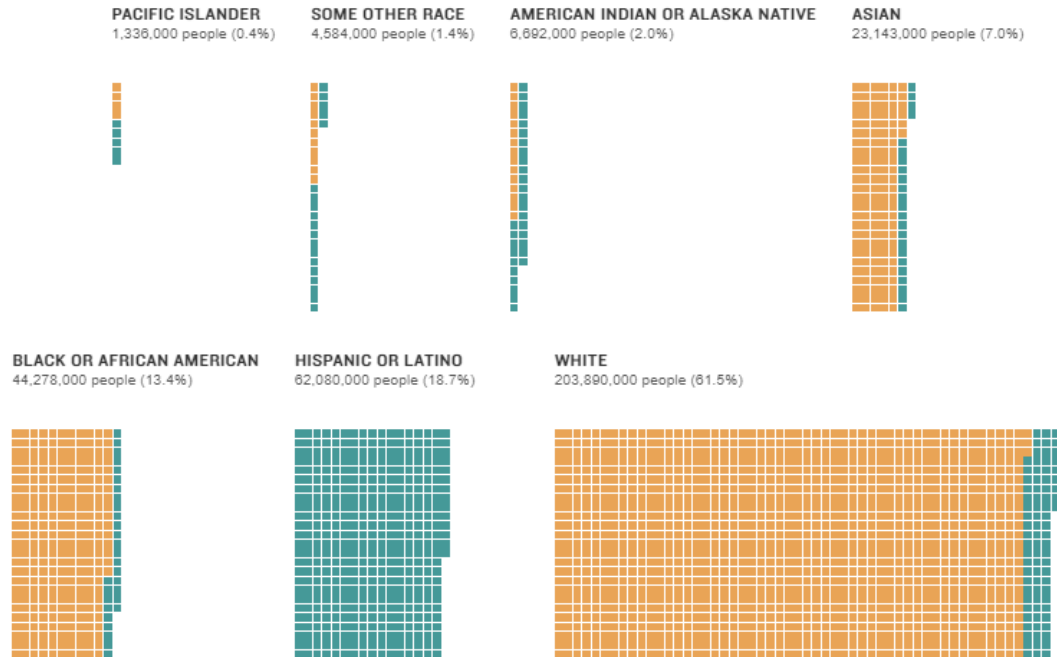


The 2020 U.S. Racial And Ethnic Makeup By Residents Including Every Race Reported

This breakdown does not include a catchall group for multiracial people because it distributes people who identified with two or more racial categories across the groups. It also groups together people who identified as Hispanic or Latino, which federal standards do not consider a racial category. How that group should be represented is a subject of much debate.

The percentages represent the share of the total U.S. population.

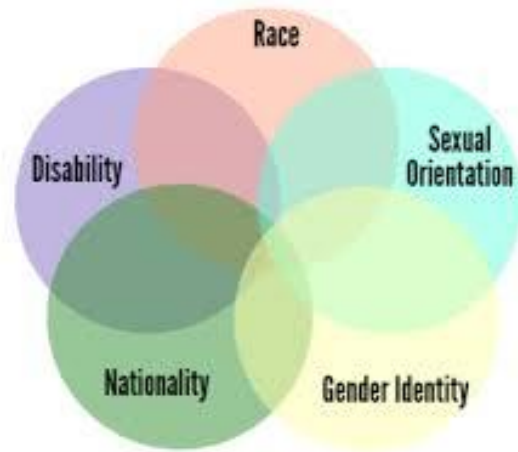
■ Alone
 ■ In combination
 One □ = 150,000 people



Source: US Census Bureau, Jin & Talbot, NPR

WHAT GETS MISSED: INTERSECTIONALITY

How does research account for intersectional identities?



Professor Kimberlé Crenshaw coined the term "intersectionality" in a 1999 academic paper. | Nolwen Cifuentes for Vox

WHAT DOES RACE ACTUALLY REPRESENT?

**Self-ascribed
identity**

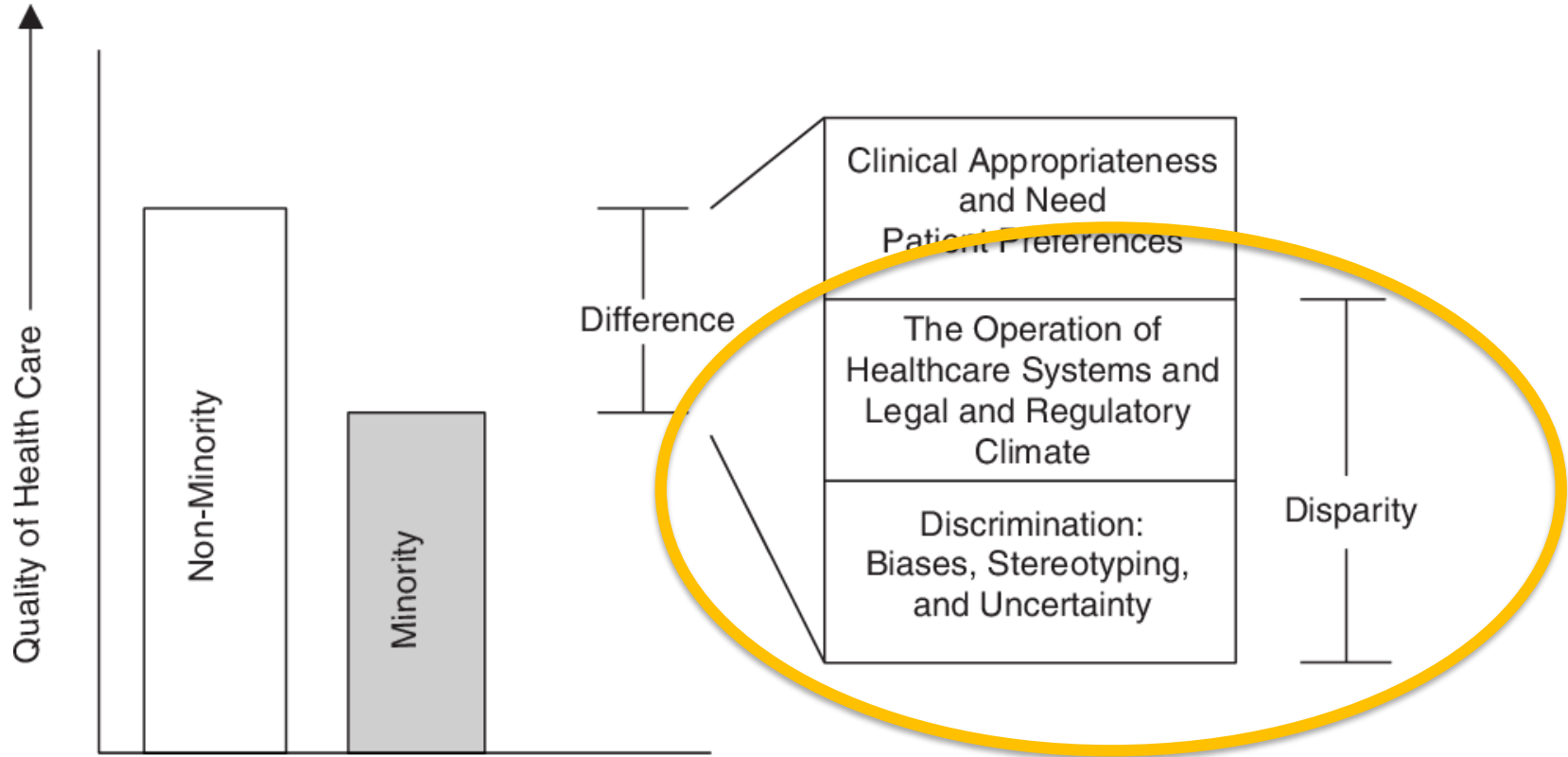
**Societally
assigned identity**

Proxy for:

- **Socioenvironmental exposures**
- **Genetic ancestry**
- **Racism**

Or... combination of all of the above?

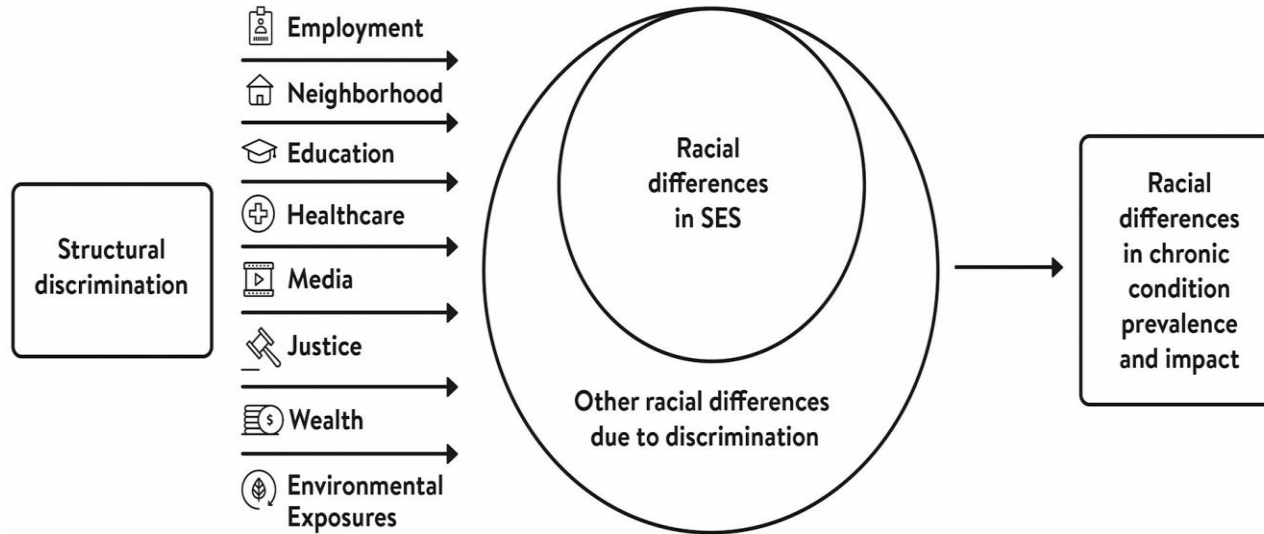
Differences and Disparities



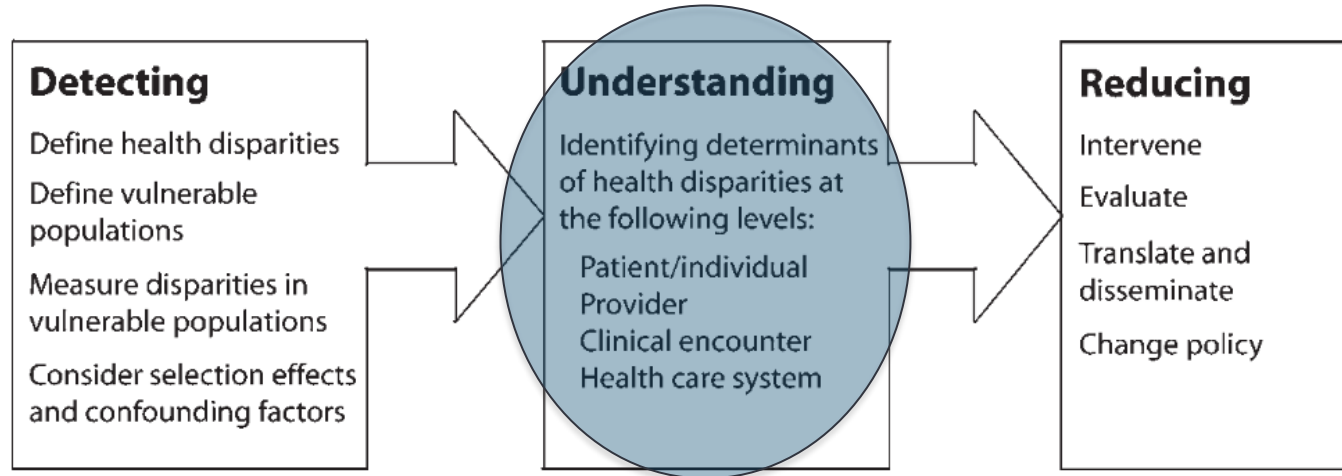
Health Equity

The state in which everyone has "the opportunity to attain their full health potential and no one is vulnerable from achieving this potential because of their social position or other socially determined circumstances."

STRUCTURAL DISCRIMINATION: CAUSE OF CAUSES







DISPARITIES RESEARCH FRAMEWORK



Note. In our framework, the health disparities research agenda progresses in 3 sequential phases of research. Phase 1 (detecting disparities) informs phase 2 studies (understanding disparities), which in turn informs phase 3 research (interventions to reduce or eliminate disparities).

FIGURE 1—The 3 phases of the disparities research agenda.

National Institute on Minority Health and Health Disparities Research Framework





		Levels of Influence*			
		Individual	Interpersonal	Community	Societal
Domains of Influence (Over the Lifecourse)	Biological	Biological Vulnerability and Mechanisms	Caregiver–Child Interaction Family Microbiome	Community Illness Exposure Herd Immunity	Sanitation Immunization Pathogen Exposure
	Behavioral	Health Behaviors Coping Strategies	Family Functioning School/Work Functioning	Community Functioning	Policies and Laws
	Physical/Built Environment	Personal Environment	Household Environment School/Work Environment	Community Environment Community Resources	Societal Structure
	Sociocultural Environment	Sociodemographics Limited English Cultural Identity Response to Discrimination	Social Networks Family/Peer Norms Interpersonal Discrimination	Community Norms Local Structural Discrimination	Social Norms Societal Structural Discrimination
	Health Care System	Insurance Coverage Health Literacy Treatment Preferences	Patient–Clinician Relationship Medical Decision-Making	Availability of Services Safety Net Services	Quality of Care Health Care Policies
Health Outcomes		 Individual Health	 Family/ Organizational Health	 Community Health	 Population Health

National Institute on Minority Health and Health Disparities, 2018

*Health Disparity Populations: Race/Ethnicity, Low SES, Rural, Sexual/Gender Minority

Other Fundamental Characteristics: Sex/Gender, Disability, Geographic Region

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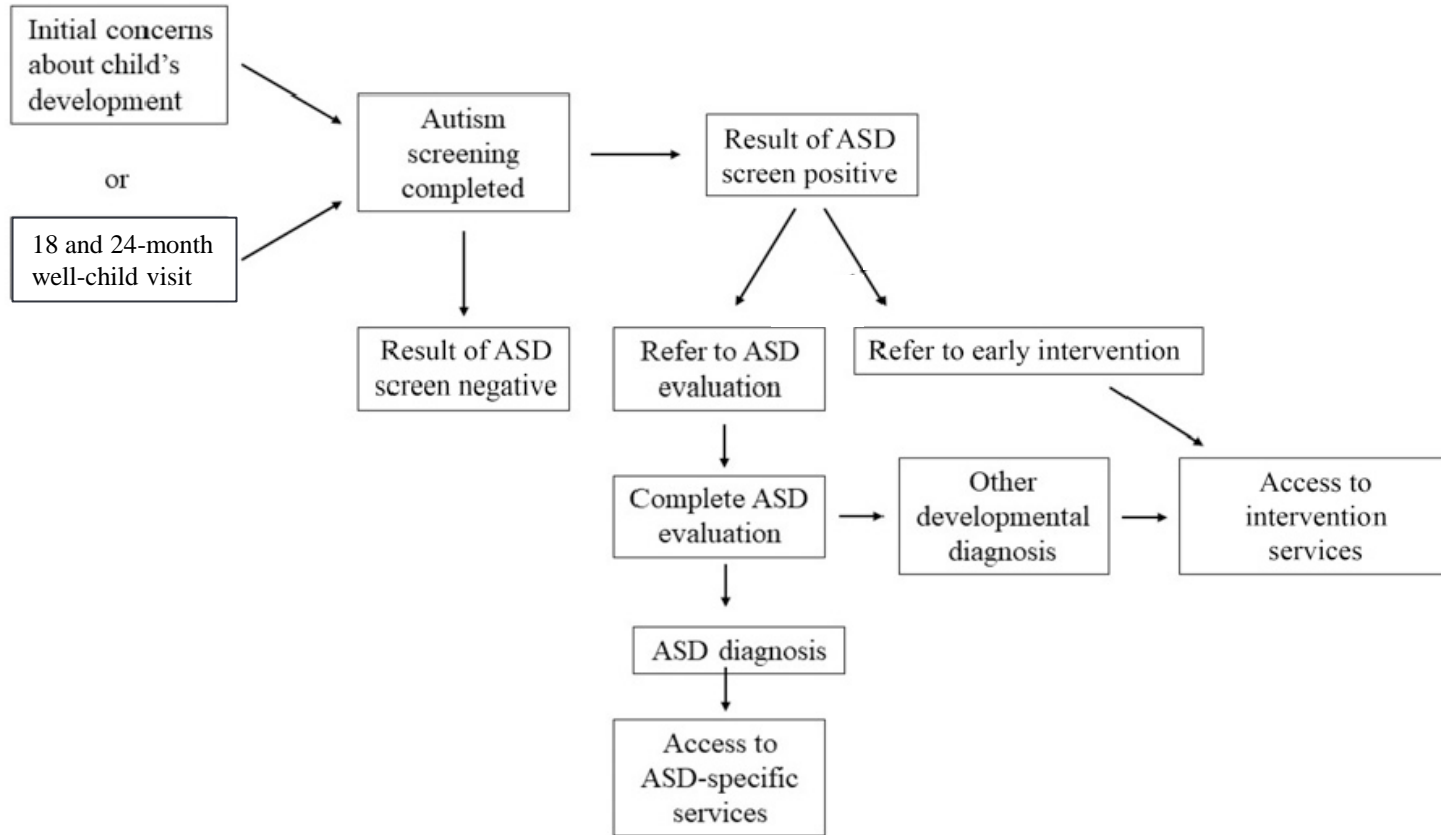
OVERVIEW

1. An overview of autism inequities
2. Foundational Concepts in Health Equity



3. MECHANISTIC INQUIRY INTO AUTISM DISPARITIES

4. Promoting Equity

DISPARITIES IN AUTISM RECOGNITION

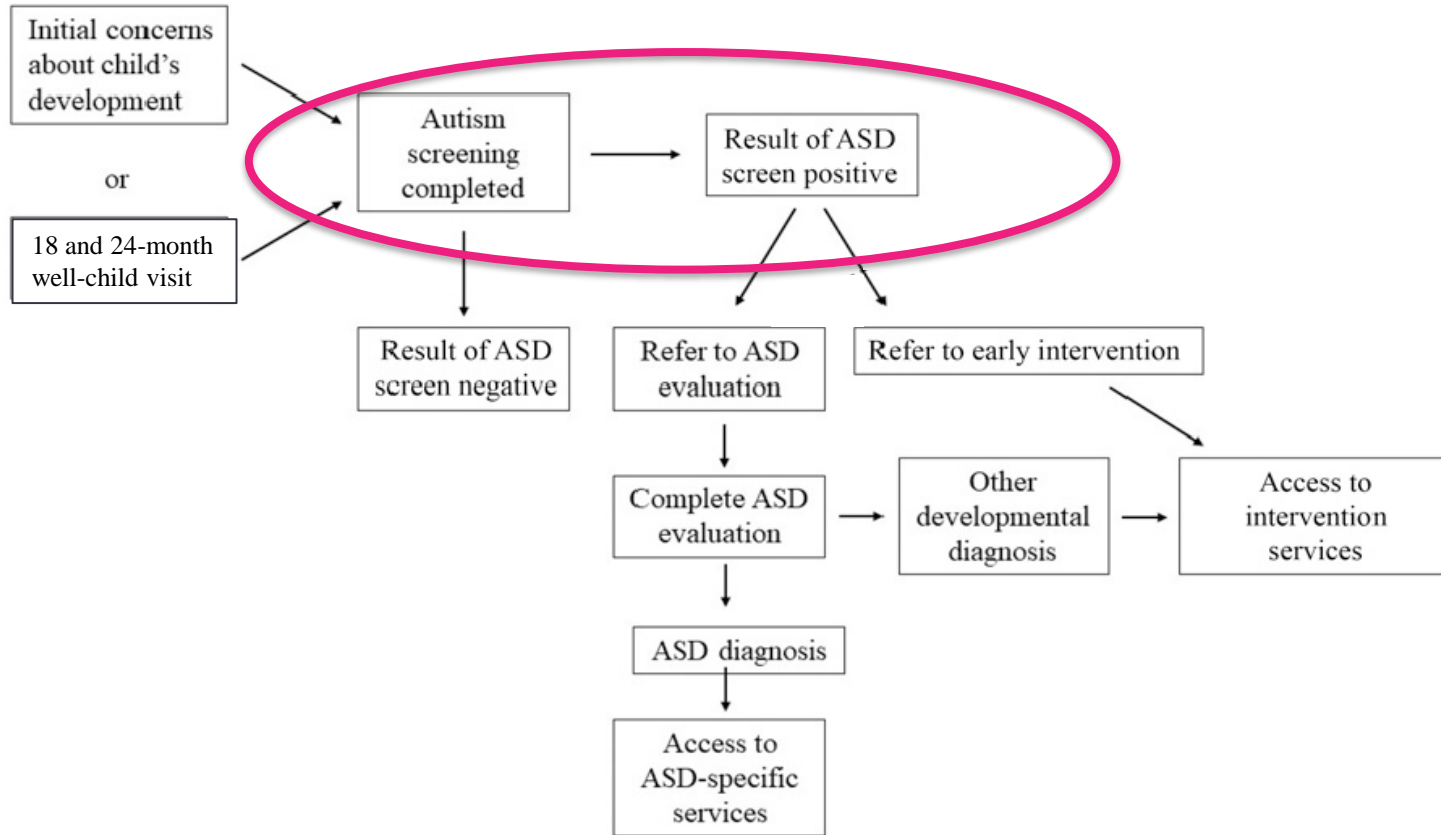


IMPLICIT BIAS

Category	Item
Autism Spectrum Disorder	Repeats body movements, Avoids eye contact, Displays rigid routines, Notices little details, Repeats certain words
Conduct Disorder	Escapes from home, Shoplifts small items, Starts fires deliberately, Disregards rules repeatedly, Hurts people/animals
African Americans	
European Americans	

Obeid, R., et al. (2021). "Do Implicit and Explicit Racial Biases Influence Autism Identification and Stigma? An Implicit Association Test Study." J Autism Dev Disord 51(1): 106-128.

DISPARITIES IN SCREENING



SCREENING COMPLETION AND ACCURACY

Identified an epidemiological cohort, electronic health record data

- 1) All children with a well-child visit in CHOP Care Network at 16-26 months
- 2) Continued to receive care at 4+ years of age

Diagnostic outcome: ASD + at least 2 diagnoses of 299.00

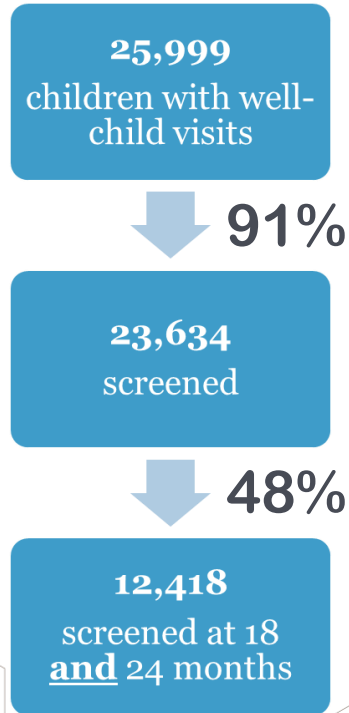
Calculation of screen completion

Calculation of psychometrics of screen

Stratified by sex, race, ethnicity, family language, SES (median household income at neighborhood level, insurance status)

*Guthrie, W., Wallis, K.E., Bennett, A. et al. (2019). "Accuracy of Autism Screening in a Large Pediatric Network." Pediatrics **144**(4).*

SCREENING COMPLETION



- Those not screened more likely to be:
 - Minoritized racial or ethnic groups
 - White children (97%)
 - Black children (83%; OR=5.98)
 - Asian children (92%, OR=2.76)
 - Other racial backgrounds (92%, OR=2.70)
 - Non-English language spoken at home (84%; OR=2.02)
 - Publicly insured (86%, OR=3.45)
 - Lower median income (85%, OR=5.23)

Guthrie, W., Wallis, K.E., Bennett, A. et al. (2019). "Accuracy of Autism Screening in a Large Pediatric Network." *Pediatrics* **144**(4).

A STORY ABOUT BEN...



- Ben had a (false) negative screen at 18 months
- Never re-screened
 - Insurance lapse
 - Provider did not screen when he returned to care outside of the prompted window (27 months)

REFERRALS AFTER A POSITIVE SCREEN

- Identified children with positive screen for ASD in primary care, 2014-2016.
- Digitally extracted referral info from that visit:
 - Early intervention
 - ASD Evaluation: speech/language therapy, occupational therapy, developmental-behavioral pediatrics, neurology, psychiatry, psychology, or genetics.
 - Drop-down response to positive screen, referrals placed, letters, notes or AVS mention
 - 90% concordance with manual chart review findings

N=2882

Wallis, K. E., Guthrie W., Bennett, A. et al. (2020). "Adherence to screening and referral guidelines for autism spectrum disorder in toddlers in pediatric primary care." *PLoS One* **15(5): e0232335**

REFERRALS AFTER A POSITIVE SCREEN

42%- Previously initiated EI

**More likely:
White than Black or Asian
English-Speaking**

**26%- Referred on day of
positive screen**

**More likely:
White than Black
English-Speaking**

**11%- Referred for ASD
evaluation**

**More likely:
White than Asian
English-Speaking**

**42%- Not referred to EI
immediately after positive
screen**

**More likely:
Black than White
Asian than White
Non-English-Speaking**

Wallis, K. E., Guthrie W., Bennett, A. et al. (2020). "Adherence to screening and referral guidelines for autism spectrum disorder in toddlers in pediatric primary care." *PLoS One* **15(5):e0232335**

A STORY ABOUT BEN...



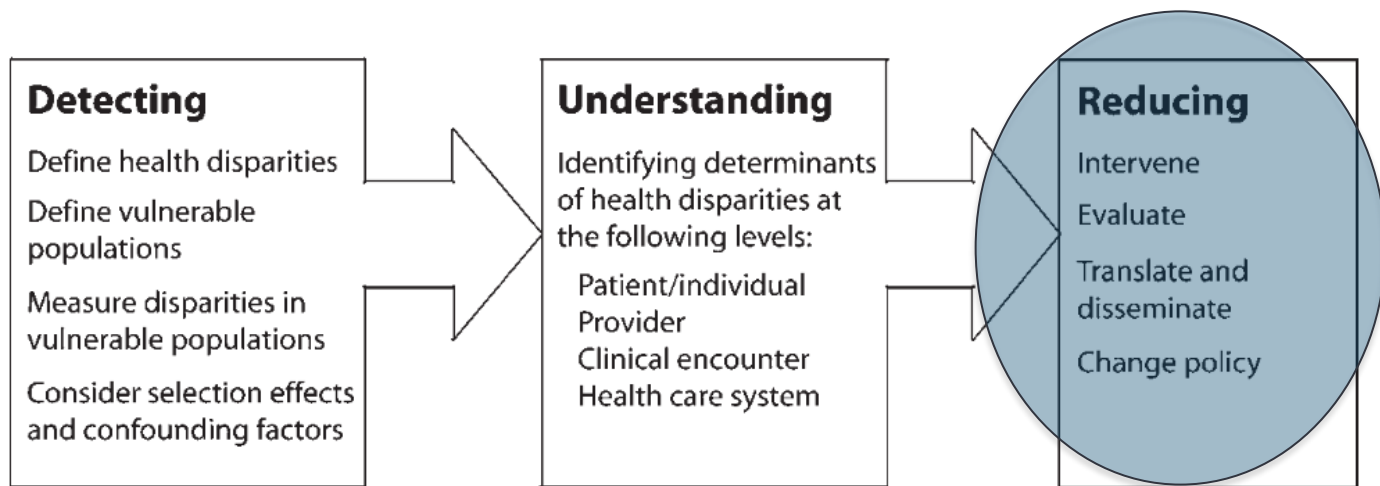
- Screened positive with a general developmental screen at 9 and 27 months:
 - No referrals made at either of those visits

OVERVIEW

1. An overview of autism inequities
2. Mechanistic inquiry into autism disparities
3. Foundational Concepts in Health Equity

4. PROMOTING EQUITY

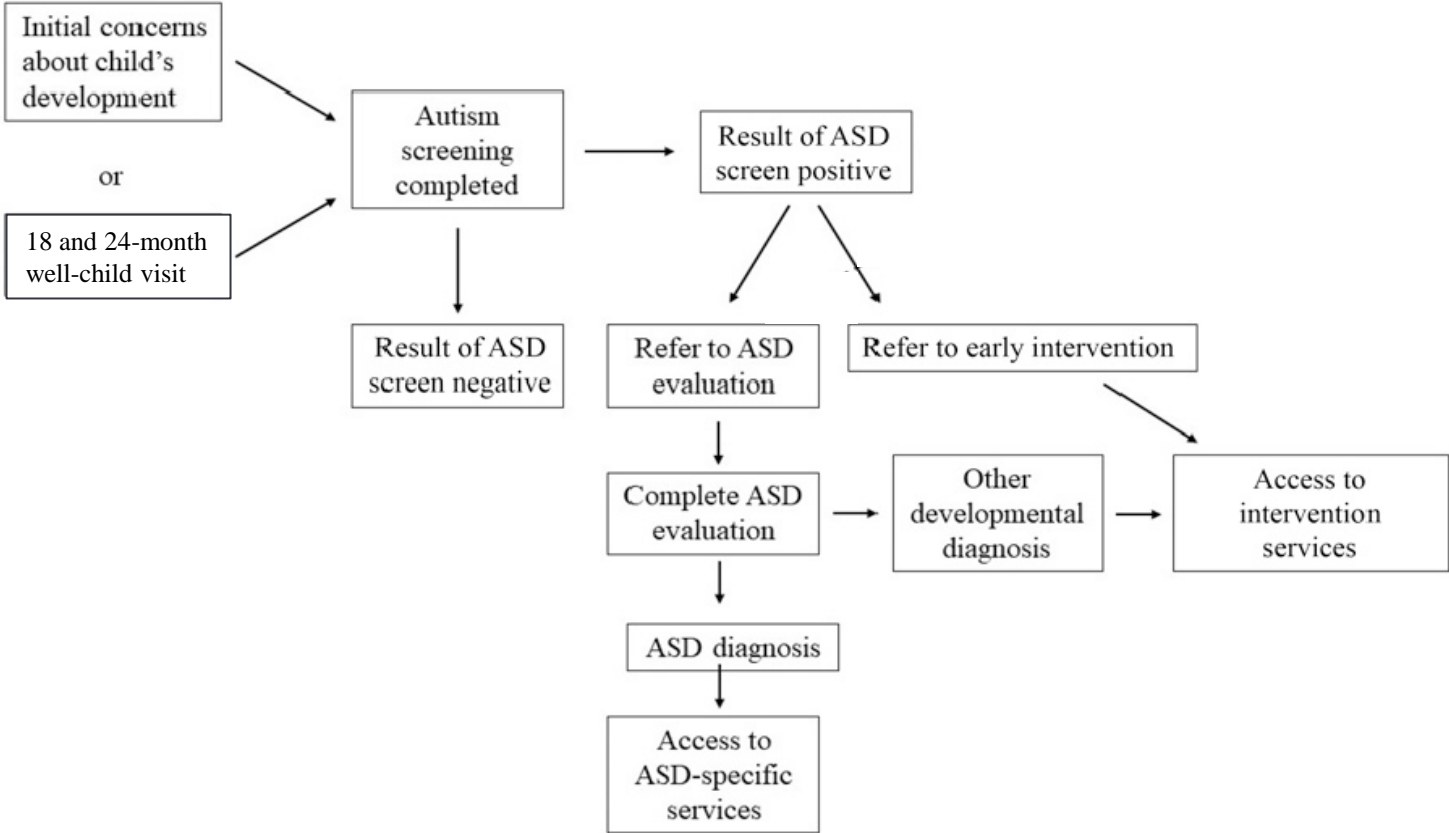
DISPARITIES RESEARCH FRAMEWORK



Note. In our framework, the health disparities research agenda progresses in 3 sequential phases of research. Phase 1 (detecting disparities) informs phase 2 studies (understanding disparities), which in turn informs phase 3 research (interventions to reduce or eliminate disparities).

FIGURE 1—The 3 phases of the disparities research agenda.

AUTISM DIAGNOSTIC AND INTERVENTION PROCESS



NOVEL SCREENING TOOLS

Tools to better identify children with ASD risk with diverse socio-demographic backgrounds

- Pictorial tools
- Targeted to individuals with lower literacy, languages other than English

*Harris, J.F., et al. (2021). "Validation of the Developmental Check-In Tool for Low-Literacy Autism Screening." Pediatrics **147**(1).*

PATIENT NAVIGATION

Effect of Family Navigation on Diagnostic Ascertainment Among Children at Risk for Autism A Randomized Clinical Trial From DBPNet

Emily Feinberg, ScD, CPNP; Marilyn Augustyn, MD; Sarabeth Broder-Fingert, MD, MPH; Amanda Bennett, MD, MPH; Carol Weitzman, MD; Jocelyn Kuhn, PhD; Emily Hickey, PhD; Andrea Chu, MPH; Julia Levinson, MSc; Jenna Sandler Eilenberg, MA, MPH; Michael Silverstein, MD, MPH; Howard J. Cabral, PhD; Gregory Patts, MPH; Yaminette Diaz-Linhart, MSW, MPH; Ivys Fernandez-Pastrana, JD; Jessica Rosenberg, MPH; Judith S. Miller, PhD; James P. Guevara, MD, MPH; Ada M. Fenick, MD; Nathan J. Blum, MD

Children who received family navigation had a greater likelihood of reaching diagnostic ascertainment over the course of 1 year.

Magnitude of effect greater for Hispanic families

Feinberg, E., et al. (2021). "Effect of Family Navigation on Diagnostic Ascertainment Among Children at Risk for Autism: A Randomized Clinical Trial From DBPNet." JAMA Pediatrics 175(3): 243-250.

PATIENT NAVIGATION

Using a family navigator to help families connect with care has shown beneficial at closing gaps in care.

- Ongoing work examining role of patient navigation on connecting families with Early Intervention Services.

PROVIDER OUTREACH AND TRAINING

Extension for Community Healthcare Outcomes (ECHO) framework

- Virtual learning to allow for geographically unlimited consultation and training
- Increased access to expertise, training
- Evidence-based practice to increase participant competence and confidence

PROVIDER OUTREACH AND TRAINING

ECHO Autism at CHOP

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What is ECHO Autism?

ECHO Autism is a virtual learning network of providers offering real-time access to autism and behavioral experts. ECHO Autism takes the principles of ECHO and adds the components of interdisciplinary expertise, including the integration of parent expertise. Our interdisciplinary team advises providers across the country and even internationally to support best practices in autism care for medical, behavioral and education concerns. This innovative system allows professionals to increase their confidence in identifying and treating autism and expand their knowledge about treatments and solutions.

- <https://www.research.chop.edu/services/echo-autism-at-chop#:~:text=ECHO%20Autism%20is%20a%20virtual,the%20integration%20of%20parent%20expertise.>

INCREASING WORKFORCE CAPACITY

Training primary care providers in ASD diagnosis and initial management to reduce reliance on subspecialty care

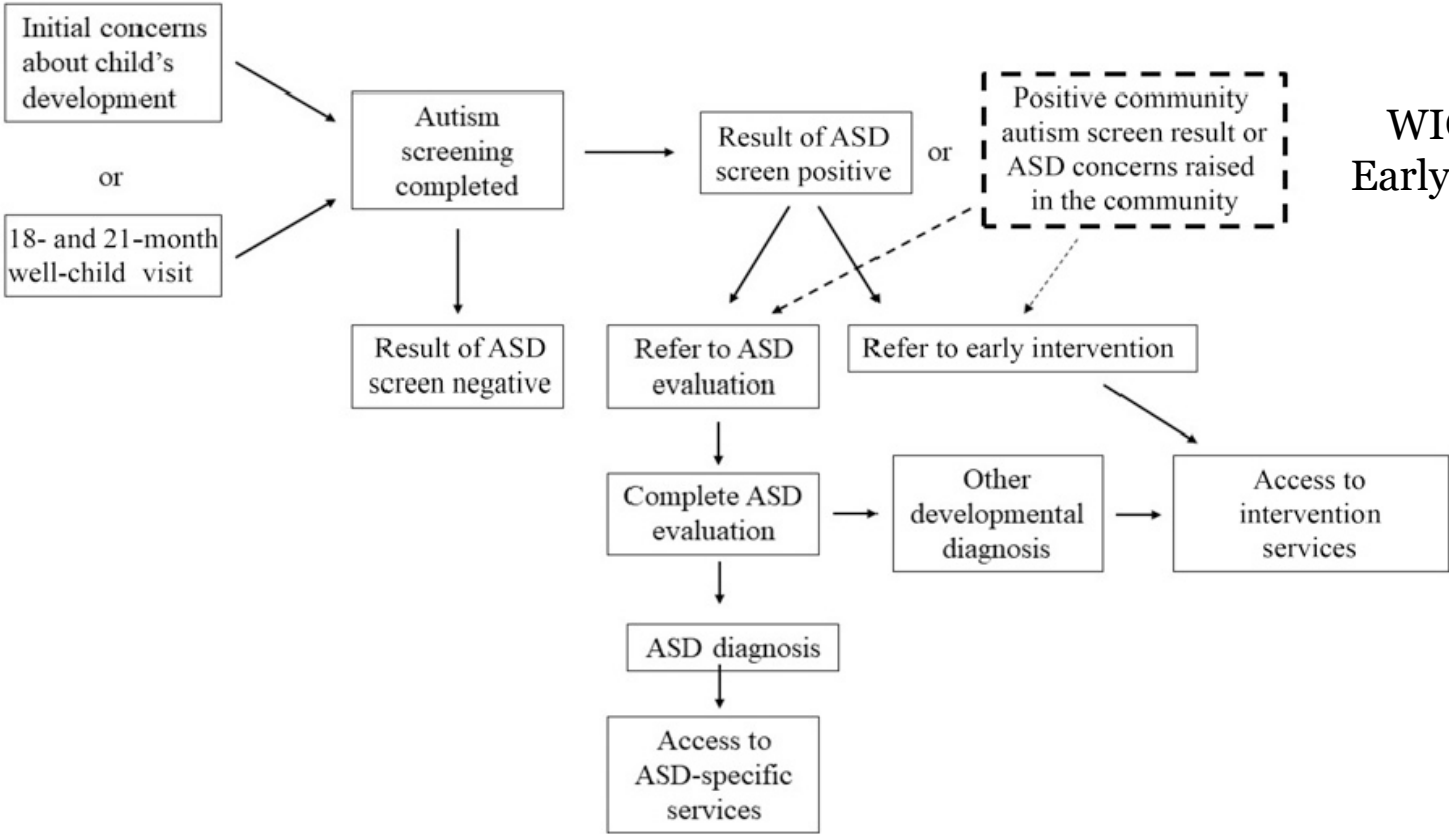
- Pediatricians receive training, including in use of diagnostic tools, to diagnose high-risk individuals
- Completion of documentation to access services
- Expedite intervention for high-risk individuals

ARTIFICIAL INTELLIGENCE / TECHNOLOGY SOLUTIONS

Emerging technologies being developed/studied to aide in screening, diagnosis.

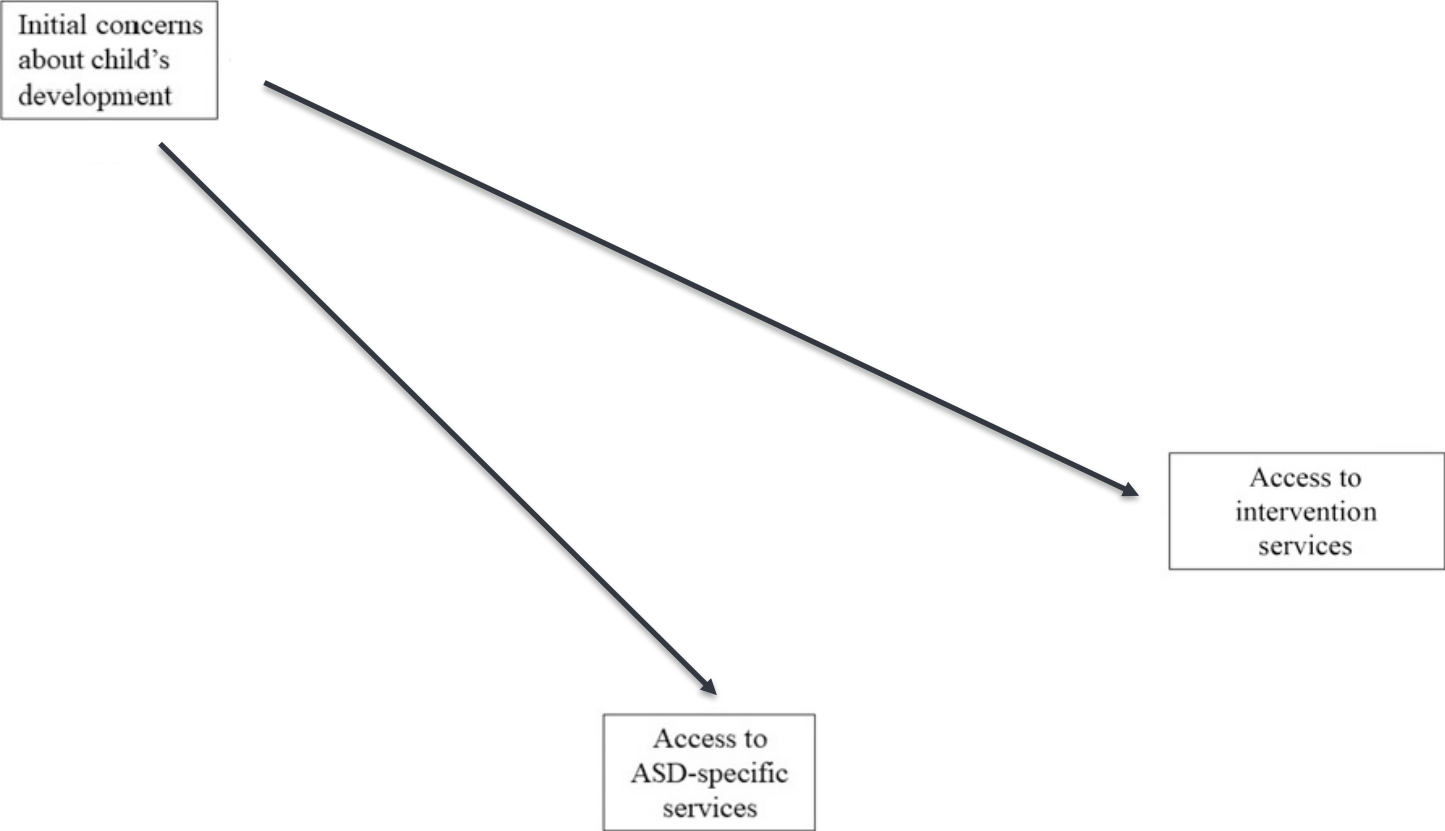
- But always caution about the source of the data, equity of the data and inclusion, and the potential for algorithmic bias.

AUTISM DIAGNOSTIC AND INTERVENTION PROCESS



WIC offices
Early Childcare

AUTISM DIAGNOSTIC AND INTERVENTION PROCESS



A STORY ABOUT BEN...



- Goals:
 - Decrease barriers to diagnosis and care
 - Increase support for primary care clinicians
 - Prevent negative outcomes, such as school expulsion
 - Improve access to services and promote developmental progress

WHAT SHOULD OUR NEXT STEPS BE?



RESOURCES

1. Wallis, K. E., et al. (2021). "Insights from Behavioral Economics: A Case of Delayed Diagnosis of Autism Spectrum Disorder." *Journal of Developmental & Behavioral Pediatrics*. 42(4): 109-113.
2. Hyman, S. L., et al. (2019). "Identification, Evaluation, and Management of Children With Autism Spectrum Disorder." *Pediatrics*: e20193447
3. Mandell, D. S., et al. (2007). "Disparities in diagnoses received prior to a diagnosis of autism spectrum disorder." *J Autism Dev Disord* 37(9): 1795-1802.
4. Baio, J., et al. (2018). "Prevalence of Autism Spectrum Disorder Among Children Aged 8 Years - Autism and Developmental Disabilities Monitoring Network, 11 Sites, United States, 2014." *MMWR Surveill Summ* 67(6): 1-23.
5. Wiggins, L. D., et al. (2019). "Disparities in Documented Diagnoses of Autism Spectrum Disorder Based on Demographic, Individual, and Service Factors." *Autism research : official journal of the International Society for Autism Research*.
6. Constantino, J. N., et al. (2020). "Timing of the Diagnosis of Autism in African American Children." *Pediatrics* 146(3): e20193629.
7. Leigh, J. P., et al. (2016). "Spending by California's Department of Developmental Services for Persons with Autism across Demographic and Expenditure Categories." *PLoS One* 11(3): e0151970
8. Zablotsky, B., et al. (2019). "Geographic Disparities in Treatment for Children with Autism Spectrum Disorder." *Acad Pediatr* 19(7): 740-747.
9. Guthrie, W., Wallis, K.E., Bennett, A. et al. (2019). "Accuracy of Autism Screening in a Large Pediatric Network." *Pediatrics* 144(4).
10. Roman-Urrestarazu, A., et al. (2021). "Association of Race/Ethnicity and Social Disadvantage With Autism Prevalence in 7 Million School Children in England." *JAMA Pediatrics*: e210054-e210054.
11. Obeid, R., et al. (2021). "Do Implicit and Explicit Racial Biases Influence Autism Identification and Stigma? An Implicit Association Test Study." *J Autism Dev Disord* 51(1): 106-128.
12. Wallis, K. E., Guthrie W., Bennett, A. et al. (2020). "Adherence to screening and referral guidelines for autism spectrum disorder in toddlers in pediatric primary care." *PLoS One* 15(5): e0232335
13. Kilbourne, A. M., et al. (2006). "Advancing Health Disparities Research Within the Health Care System: A Conceptual Framework." *Am J Public Health* 96(12): 2113-2121.
14. Wallis, K. E. (2021). "The Roadmap to Early and Equitable Autism Identification." *Pediatrics* 148(Suppl 1): s21-s24.
15. Wallis, K. E., et al. (2021). "Provider Responses to Positive Developmental Screening: Disparities in Referral Practices?" *Journal of Developmental & Behavioral Pediatrics* 42(1): 23-31.
16. Wallis, K. E. and W. Guthrie (2020). "Identifying Autism Spectrum Disorder in Real-World Health Care Settings." *Pediatrics*: e20201467.
17. Feinberg, E., et al. (2021). "Effect of Family Navigation on Diagnostic Ascertainment Among Children at Risk for Autism: A Randomized Clinical Trial From DBPNet." *JAMA Pediatrics* 175(3): 243-250.
18. Harris, J. F., et al. (2021). "Validation of the Developmental Check-In Tool for Low-Literacy Autism Screening." *Pediatrics* 147(1).



QUESTIONS AND COMMENTS?



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